

5123940054

DR SHAYNE SHORT

PAGE 01

Hadasha Da		5 ee eester	U.S. Pater	at and Tra	damark Af	Fra. II C D	PTO/SB/21 (02-04) gh 07/31/2006, OMB 0651-0031 EPARTMENT OF COMMERCE ys a yalld OMB control number.	
Under the Pa	GENERAL REGULERAL ACCULTES	3. HO DHISDLE	Application Number	10/7	36,4	34		
TRANSMITTAL FORM			Filing Date	+	2/15/2003			
			First Named Inventor	Alan \	Alan Y. Kwentus			
(to be used for all correspondence after initial filing)		(filing)	Art Unit	2631				
,			Examiner Name	n/a				
Tabilities has as	Pagos In This Submission	3	Allumey Docket Number	BP27	3P2784			
Total Number 6	reagos III Filis aubiliosion							
ENCLOSURES (Check all that apply) After Allowance communication								
☐ ☐ F	smittal Form		Drawling(s) _lcensing-related Papers			ppeal Cor f Appeals ppeal Cor	ogy Center (TC) nmunication to Board and Interferences nmunication to TC	
	Amendment/Reply After Final		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address			(Appeal Notice, Brief, Roply Brief) Proprietary Information Status Letter Other Enclosure(s) (please		
	Attidavits/declaration(9)							
Extension of Time Request			Terminal Disclaimer		Identify below):			
Express Abandonment Request			-			Marked up copy of filing receipt		
Information Disclosure Statement		Remar	CD, Number of CD(6)				•	
Certified Documer	Certified Copy of Priority Document(s)		Request for a corrected filing receipt sent via facsimile to 703-746-9195.					
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		The name of inventor "Stephen Edward Krafft" was misspelled on the originally received filing receipt. Please delete "Kraff" and replace with "Krafft".						
							<u> </u>	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Individual name	Garick Harrison & Markison, LLP (USF 10 CN 34358)							
Signature & St.								
Date 07/20/2004								
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed name Shayne X. Short, Ph.D. (Reg. No. 45,105)								
Signature			8xxha1			Date	07/20/2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gunening, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DR SHAYNE SHORT

PAGE 02 Page I of 2

12

GARLICK HARRISON & 3130 04

UNITED STATES PATENT AND TRADEMARK OFFICE DATE RECOURTED 2/30/04

INCITED OTATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. Dep 1450

12

P.O. Den 1450 Alexandria, Vingrain 22317–1450 www.mplusery

OC000000012171679

FIL FEE REC'D

ATTY DOCKET NO

DRAWINGS TOT CLMS IND CLMS

34399 GARLICK HARRISON & MARKISON LLP P.O. BOX 160727 AUSTIN, TX 78716-0727 CONFIRMATION NO. 3430
FILING RECEIPT

Date Mailed: 03/24/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, Check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply appropriate).

Applicant(s)

Alan Y. Kwantus, San Juan Capistrano, CA: Charles Alan Brooks, Laguna Beach, CA; Steven Jaffe, Irvine, CA; Stephen Edward Kraff. Los Angeles, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/447,112 02/13/2003

Foreign Applications

If Required, Foreign Filing License Granted: 03/23/2004

Projected Publication Date: 08/19/2004

Non-Publication Request: No

Early Publication Request: No

Title

Communications signal transcoder

Page 2 of 2

Preliminary Class

375

5123940054

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the notional security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).